NDA SECURITIES LIMITED



Member : National Stock Exchange of India Ltd.

- : Bombay Stock Exchange Ltd.
- : National Securities Depository Ltd.

Registered & Corporate Office : E-157, 2nd Floor, Kalkaji, New Delhi-110019 Phone : 011-46204000 (100 lines) Email : info@ndaindia.com, website : www.ndaindia.com CIN : L74899DL1992PLC050366

Ref.: SEBI/HO/MIRSD/ DOP/P/CIR/2021/676 dated December 02, 2021 Date: 05.04.2024 Format for Investor Compliant Data to be displayed by Stock Brokers on their respective Websites Date: 05.04.2024

Data for Every Month Ending – March, 2024

| S.No. | Received From | Carried Forward from Previous Month | Received during the month | Total Pending | Resolved | Pending at the end of the month | | Average Resolution on time (in days) |
|-------|----------------------------|---|------------------------------------|------------------|----------|---|---|---|
| | | | | | | Pending for less than 3 Months | Pending for more than 3 Months | |
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2. | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | | 15 |
| 3. | Stock Exchange | 0 | 0 | 0 | 0 | 0 | | 15 |
| 4. | Other Sources | 0 | 0 | 0 | 0 | 0 | | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | | 15 |

Trend of monthly Disposal of Complaints

| S.No. | Month Carried Forv | | Received | Resolved | Pending |
|-------|--------------------|---------------|----------|----------|---------|
| | | from Previous | | | |
| | | Month | | | |
| 1. | April-2023 | 0 | 0 | 0 | 0 |
| 2. | May-2023 | 0 | 0 | 0 | 0 |
| 3. | June-2023 | 0 | 0 | 0 | 0 |
| 4. | July-2023 | 0 | 0 | 0 | 0 |
| 5. | August-2023 | 0 | 0 | 0 | 0 |
| 6. | September-2023 | 0 | 0 | 0 | 0 |
| 7. | October-2023 | 0 | 0 | 0 | 0 |
| 8. | November-2023 | 0 | 0 | 0 | 0 |
| 9. | December-2023 | 0 | 0 | 0 | 0 |
| 10. | January-2024 | 0 | 0 | 0 | 0 |
| 11. | February-2024 | 0 | 0 | 0 | 0 |

Trend of Annual Disposal of Complaints

| S.No. | Month | Carried Forward from Previous Month | Received | Resolved | Pending |
|-------|---------|---|----------|----------|---------|
| 1. | 2018-19 | 0 | 0 | 0 | 0 |
| 2. | 2019-20 | 0 | 0 | 0 | 0 |
| 3. | 2020-21 | 0 | 0 | 0 | 0 |
| 4. | 2021-22 | 0 | 0 | 0 | 0 |
| 5. | 2022-23 | 0 | 0 | 0 | 0 |

